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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

5

Application Number

09/856,987 (Conf #6461)

Filing Date

May 25, 2001

First Named Inventor

Iok-Hou PANG

Art Unit

1614

Examiner Name

Z. Fay

Attorney Docket Number

1719F US

### ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☒

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/  
Incomplete Application

☐

Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a  
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

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After Allowance Communication to TC

☐

Appeal Communication to Board  
of Appeals and Interferences

☐

Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify  
below):

Return Card

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Alcon Research, Ltd.

Signature

*Teresa J. Schultz*

Printed name

Teresa J. Schultz

Date

29 October 2004

Reg. No.

40,526

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Date

29 October 2004

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1614  
PFW

IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

In re Application of:  
Iok-Hou Pang

Serial No.: 09/856,987 (Conf. No. 6461)

Filed: May 25, 2001

For: USE OF NEUROTROPHIC FACTOR  
STIMULATORS FOR THE  
TREATMENT OF OPHTHALMIC  
NEURODEGENERATIVE DISEASES

Group Art Unit: 1614

Examiner: Z. Fay

Atty. Dkt. No.: 1719F US

**AMENDMENT and RESPONSE TO NOTICE OF  
NON-COMPLIANT AMENDMENT DATED  
OCTOBER 23, 2004**

Commissioner of Patents  
P.O. Box 1450  
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29 October 2004  
Date

Barbara McKenzie  
Name  
Barbara McKenzie  
Signature

Sir:

This Amendment is filed in response to the Notice of Non-Compliant Amendment mailed October 23, 2004, for which the one-month date for response is November 23, 2004.

Reconsideration of the application is respectfully requested.

**Complete Listing of all Claims** begins on page 2 of this paper.